### LAW OFFICES

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ELLIOT A. SALTER

## FACSIMILE TRANSMITTAL SHEET

Deliver to

Michael Colaianni

Primary Examiner

U.S. Patent and Trademark Office

Fax number

(703) 872-9494

From

Michele J. Young

Fax number

(401) 861-1953

Date

June 17, 2003

Total pages (including cover sheet): 15 Operator

Re:

U.S. Patent Application Serial No. 10/086,190

Title: APPARATUS AND METHOD FOR COATING A SUBSTRATE BY MEANS OF A CHEMICAL GAS PHASE SEPARATION PROCESS

Applicant: MATTHEE, Thorsten et al.

Filed: February 28, 2002

Our Ref: 01055-2

If you do not receive all of the pages, or if there is any difficulty in receiving, please call (401/421-3141) and ask

### Dear Examiner Colaianni:

Further to our conversation of even date, attached please find a Preliminary Amendment in connection with the above-referenced patent application for your review.

truly yours,

The information contained in this facsimile transmission is privileged and confidenced information intended only for the use of the person named above. If the reader of this transmission is not the intended recipion, you are hereby needled that any dissemination, distribution or copying of this transmission in strictly prohibited. If you have received this transmission in error, pituse immediately needly us by collect telephone call and return the original transmission to us at the address above via U.S. Mail. Thank you.

## Practitioner's Docket No. 01055-2

**PATENT** 

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

MATTHEE, Thorsten

Application No.: 10/086,190

Group No.: 1731

Filed: 02/28/02

Examiner: Colaianni, M.

For: APPARATUS AND METHOD FOR COATING A SUBSTRATE BY MEANS OF A CHEMICAL

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# CERTIFICATION OF FACSIMILE TRANSMISSION

I hereby certify that the following papers are being facsimile transmitted to the Patent and Trademark Office at (703) 872-9494 on the date shown below:

June 17, 2003

Date

Marie LoPresti

Marie Lofresh

Enclosures:

Amendment Transmittal (2 pgs.); and

Preliminary Amendment (11 pgs.).

(Certification of Facsimile Transmission--page 1 of 1)

## Practitioner's Docket No. 01055-2

PATENT

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In re application of: MATTHEE, Thorsten

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SALTER, MICHAELSO

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Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# PRELIMINARY AMENDMENT TRANSMITTAL

Ι. Transmitted herewith is an amendment for this application.

### **STATUS**

2. Applicant claims small entity status.

### EXTENSION OF TIME

3. The proceedings herein are for a patent application and the provisions of 37 C.F.R. 1.136 apply. Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition

# CERTIFICATE OF FACSIMILE TRANSMITTAL

I hereby certify that, on the date shown below, this correspondence is being:

#### MAILING

J deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Date: June 17, 2003

#### FACSIMILE

transmitted by facsimile to the Patent and Trademark Office to Examiner Michael Colaianni at (703) .872-9494

X

<u>Магіе LoPresti</u>

(type or print name of person certifying)

(Amendment Transmittal--page 1 of 2)

Art Unit: 1731 Serial No.: 10/086,190 Examiner: Colaianni, M.

### FEE FOR CLAIMS

SALTER, MICHAELSO

The fee for claims (37 C.F.R. 1.16(b)-(d)) has been calculated as shown below: 4.

(Col. t) Claims Remaining After Amendment			(Col. 2)	(Col. 3) SMALL ENTITY				
			Highest No. Previously Paid For	Present Extra	Rate	Addit. Fee		
Total	37	Minus	20	= 17	x \$9 =	T152		
				• • •	X 39 -	\$153		
Indep.	7	Minus	3	= 4	x \$42 =	\$168		
First Pres	sentation of N	Aultiple Depe	endent Claim	<del></del>	+ \$135 =	\$0		
	<del></del> -							
					Total			
					Addit. Fee	\$321		

Total additional fee for claims required \$321.00

### FEE PAYMENT

Charge Account No. 19-0120 the sum of \$321.00. 5.

### FEE DEFICIENCY

If any additional extension and/or fee is required, charge Account No. 19-0120. 6. If any additional fee for claims is required, charge Account No. 19-0120

Date: June 17, 2003

Michele J. Young

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(Amendment Transmittal--page 2 of 2)

## ATTORNEY DOCKET NO. 01055-2

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

MATTHEE, Thorsten et al.

Serial No:

10/086,190

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APPARATUS AND METHOD FOR COATING A SUBSTRATE

BY MEANS OF A CHEMICAL GAS PHASE SEPARATION PROCESS

Examiner:

Colaianni, M.

Art Unit:

1731

## CERTIFICATE OF FACSIMILE TRANSMISSION

The undersigned hereby certifies that this document was forwarded via facsimile to Examiner M. Colaianni, facsimile number (703) 872-9494, U.S. Patent and Trademark Office on the 12th day of June, 2003

Certifie

### PRELIMINARY AMENDMENT

Sir

Prior to the examination of the above-referenced application, as extended, Applicants respectfully request entrance of the attached Amendment.

### **AMENDMENT**

Please amend the above-identified application as follows:

### In the claims:

Please cancel claims 5 and 12-15, without prejudice or disclaimer.

Please rewrite the following claims:

1. (Amended Ouce) An apparatus for coating a substrate having a complex outer surface by means of a chemical gas phase separation process, the apparatus comprising:

an arrangement of filaments constructed and arranged to at least partially surround and conform to the outer surface of the complex substrate, wherein the distance between the filaments and the outer surface of the substrate remains substantially the same.